

**Harbor Maintenance
Trust Fund
96X8863
Table of Contents**

	Page(s)
Footnotes	2
Trial Balance(s)	3
Balance Sheet(s)	4
Income Statement(s)	5
Budgetary Trial Balance	6
FACTS II Adjusted Trial Balance	7
Attest Adjusted Trial Balance	8
Attest Schedule of Assets and Liabilities	9
Attest Schedule of Activity	10

**Harbor Maintenance
Trust Fund
96X8863
Footnotes**

Balance Sheet

1. Details about principal holdings and investment transaction data can be viewed at <http://www.treasurydirect.gov/govt/reports/fip/acctstmt/acctstmt.htm>

Income Statement

1. Interest on Investments is reported on the accrual basis. Includes interest collected, premium paid, and accrued interest purchased.

Interest on Investments cash basis:

	<u>Current Month</u>	<u>Year to Date</u>
\$	6,085,594.98	\$ 172,714,202.53

2. Expenses represent current year appropriations from the trust fund and may not represent actual transfers from the trust fund.
 3. Due to system changes you will no longer see cost center detail for 4394 on the Budgetary Trial Balance.
-
-

Harbor Maintenance Trust Fund
96X8863
Trial Balance (Unaudited)
September 1, 2013 Through September 30, 2013

Period Name:2013-12	Fund:TFM8863DEXXXXXX	Program:<All>
---------------------	----------------------	---------------

USSGL	Cost Center	USSGL / Cost Center Description	Beginning Balance	Period Net Dr	Period Net Cr	Ending Balance
1010	-	FUND BALANCE WITH TREASURY	177,388,604.26	8,671,298,002.94	8,751,312,643.99	97,373,963.21
1342	-	INTEREST RECEIVABLE - INVESTMENTS	21,449,987.38	9,915,026.49	5,808,107.92	25,556,905.95
1610	-	INVESTMENTS IN U.S. TREASURY SECURITIES ISSUED BY THE BUREAU OF THE PUBLIC DEBT	7,594,267,830.93	8,680,293,036.89	8,568,115,931.81	7,706,444,936.01
1611	-	DISCOUNT ON U.S. TREASURY SECURITIES ISSUED BY THE BUREAU OF THE PUBLIC DEBT	-1,256,858.88	277,487.06	0.00	-979,371.82
1612	-	PREMIUM ON U.S. TREASURY SECURITIES ISSUED BY THE BUREAU OF THE PUBLIC DEBT	235,186,268.46	0.00	0.00	235,186,268.46
1613	-	AMORTIZATION OF DISCOUNT AND PREMIUM ON U.S. TREASURY SECURITIES ISSUED BY THE BUREAU OF THE PUBLIC DEBT	-123,936,424.58	183,511.17	3,793,470.07	-127,546,383.48
2150	TFMA57651800	TRANSFERS TO ST. LAWRENCE SEAWAY DEV. CORP.	-3,571,661.00	3,571,661.00	0.00	0.00
	TFMA57651900	TRANSFERS TO CUSTOMS	0.00	0.00	0.00	0.00
	TFMA57652500	TRANSFERS TO CORPS OF ENGINEERS	-67,447,946.10	67,447,946.10	0.00	0.00
Sum			-71,019,607.10	71,019,607.10	0.00	0.00
3310	-	CUMULATIVE RESULTS OF OPERATIONS	-7,159,272,890.36	0.00	0.00	-7,159,272,890.36
5311	TFMA53110010	INTEREST ON INVESTMENTS	-90,098,319.12	3,515,983.01	10,098,537.66	-96,680,873.77
5765	TFMA57651800	TRANSFERS TO ST. LAWRENCE SEAWAY DEV. CORP.	30,571,661.00	3,571,661.00	3,571,661.00	30,571,661.00
	TFMA57651900	TRANSFERS TO CUSTOMS	3,274,000.00	0.00	0.00	3,274,000.00
	TFMA57652500	TRANSFERS TO CORPS OF ENGINEERS	809,375,354.00	67,447,946.10	67,447,946.10	809,375,354.00
Sum			843,221,015.00	71,019,607.10	71,019,607.10	843,221,015.00
5800	TFMA58004300	CUSTOM DUTIES ON IMPORTS	0.00	0.00	0.00	0.00
	TFMA58004500	CUSTOM DUTIES ON DOMESTICS	0.00	0.00	0.00	0.00
	TFMA58004600	CUSTOM DUTIES ON PASSENGERS	0.00	0.00	0.00	0.00
	TFMA58004700	CUSTOM DUTIES ON FOREIGN TRADE	0.00	0.00	0.00	0.00
Sum			0.00	0.00	0.00	0.00
5997	TFMA59970100	CUSTOM DUTIES ON IMPORTS	-1,042,986,087.54	0.00	96,846,221.14	-1,139,832,308.68
	TFMA59970200	CUSTOM DUTIES ON DOMESTICS	-122,455,481.49	0.00	345,430.67	-122,800,912.16
	TFMA59970300	CUSTOM DUTIES ON PASSENGERS	-11,840,333.55	0.00	2,728.48	-11,843,062.03
	TFMA59970400	CUSTOM DUTIES ON FOREIGN TRADE	-248,647,703.41	0.00	179,582.92	-248,827,286.33
Sum			-1,425,929,605.99	0.00	97,373,963.21	-1,523,303,569.20
TOTAL			0.00	17,507,522,261.76	17,507,522,261.76	0.00

Harbor Maintenance Trust Fund
96X8863
Balance Sheet (Unaudited)
September 30, 2013

Period Name:2013-12	Fund:TFM8863DEXXXXXX	Program:TFMA88630
----------------------------	-----------------------------	--------------------------

Account Type	Account Description	Ending Balance
ASSETS	FUND BALANCE WITH TREASURY	97,373,963.21
	INTEREST RECEIVABLE - INVESTMENTS	25,556,905.95
	INVESTMENTS IN U.S. TREASURY SECURITIES ISSUED BY THE BUREAU OF THE PUBLIC DEBT	7,706,444,936.01
	DISCOUNT ON U.S. TREASURY SECURITIES ISSUED BY THE BUREAU OF THE PUBLIC DEBT	-979,371.82
	PREMIUM ON U.S. TREASURY SECURITIES ISSUED BY THE BUREAU OF THE PUBLIC DEBT	235,186,268.46
	AMORTIZATION OF DISCOUNT AND PREMIUM ON U.S. TREASURY SECURITIES ISSUED BY THE BUREAU OF THE PUBLIC DEBT	-127,546,383.48
		7,936,036,318.33
	Total: 7,936,036,318.33	
LIABILITIES	PAYABLE FOR TRANSFERS OF CURRENTLY INVESTED BALANCES	0.00
		0.00
EQUITY	CUMULATIVE RESULTS OF OPERATIONS	-7,159,272,890.36
	NET INCOME	-776,763,427.97
		-7,936,036,318.33
		Total: -7,936,036,318.33

**Harbor Maintenance Trust Fund
96X8863
Income Statement (Unaudited)
October 1, 2013 Through September 30, 2013**

Period Name:2013-12 Fund:TFM8863DEXXX Program:TFMA88630

Revenue Type	USSGL	Ussgl Description	Cost Center	Cost Center Description	Activity	Ending Balance
INCOME	5800	TAX REVENUE COLLECTED - NOT OTHERWISE CLASSIFIED	TFMA58004500	CUSTOM DUTIES ON DOMESTICS	0.00	0.00
			TFMA58004700	CUSTOM DUTIES ON FOREIGN TRADE	0.00	0.00
			TFMA58004300	CUSTOM DUTIES ON IMPORTS	0.00	0.00
			TFMA58004600	CUSTOM DUTIES ON PASSENGERS	0.00	0.00
	Sum				0.00	0.00
	5311	INTEREST REVENUE - INVESTMENTS - NON EXCHANGE	TFMA53110010	INTEREST ON INVESTMENTS	-6,582,554.65	-96,680,873.77
Sum				-6,582,554.65	-96,680,873.77	
EXPENSES	5997	FINANCING SOURCES TRANSFERRED IN FROM CUSTODIAL STATEMENT COLLECTIONS	TFMA59970200	CUSTOM DUTIES ON DOMESTICS	-345,430.67	-122,800,912.16
			TFMA59970400	CUSTOM DUTIES ON FOREIGN TRADE	-179,582.92	-248,827,286.33
			TFMA59970100	CUSTOM DUTIES ON IMPORTS	-96,846,221.14	-1,139,832,308.68
			TFMA59970300	CUSTOM DUTIES ON PASSENGERS	-2,728.48	-11,843,062.03
	Sum				-97,373,963.21	-1,523,303,569.20

Harbor Maintenance Trust Fund
96X8863
Budgetary Trial Balance (Unaudited)
October 1, 2013 Through September 30, 2013

Fund:TFM8863DEXXXXXX

USSGL	BEA Category	Year of BA	Cost Center	USSGL / Cost Center Description	FY Beginning Balance	FY Activity	FY Ending Balance
1010	-	-	-	FUND BALANCE WITH TREASURY	96,103,480.95	1,270,482.26	97,373,963.21
	Sum				96,103,480.95	1,270,482.26	97,373,963.21
1610	-	-	-	INVESTMENTS IN U.S. TREASURY SECURITIES ISSUED BY THE BUREAU OF THE PUBLIC DEBT	6,886,251,945.69	820,192,990.32	7,706,444,936.01
	Sum				6,886,251,945.69	820,192,990.32	7,706,444,936.01
1611	-	-	-	DISCOUNT ON U.S. TREASURY SECURITIES ISSUED BY THE BUREAU OF THE PUBLIC DEBT	-32,312,655.97	31,333,284.15	-979,371.82
	Sum				-32,312,655.97	31,333,284.15	-979,371.82
4114	D	-	TFMA53110010	INTEREST ON INVESTMENTS	0.00	172,714,202.53	172,714,202.53
			TFMA58004300	CUSTOM DUTIES ON IMPORTS	0.00	0.00	0.00
			TFMA58004500	CUSTOM DUTIES ON DOMESTICS	0.00	0.00	0.00
			TFMA58004600	CUSTOM DUTIES ON PASSENGERS	0.00	0.00	0.00
			TFMA58004700	CUSTOM DUTIES ON FOREIGN TRADE	0.00	0.00	0.00
			TFMA59970100	CUSTOM DUTIES ON IMPORTS	0.00	1,139,832,308.68	1,139,832,308.68
			TFMA59970200	CUSTOM DUTIES ON DOMESTICS	0.00	122,800,912.16	122,800,912.16
			TFMA59970300	CUSTOM DUTIES ON PASSENGERS	0.00	11,843,062.03	11,843,062.03
			TFMA59970400	CUSTOM DUTIES ON FOREIGN TRADE	0.00	248,827,286.33	248,827,286.33
	Sum BEA				0.00	1,696,017,771.73	1,696,017,771.73
	M	-	TFMA58004600	CUSTOM DUTIES ON PASSENGERS	0.00	0.00	0.00
			TFMA58004700	CUSTOM DUTIES ON FOREIGN TRADE	0.00	0.00	0.00
	Sum BEA				0.00	0.00	0.00
	Sum				0.00	1,696,017,771.73	1,696,017,771.73
4127	D	-	TFMA57651800	TRANSFERS TO ST. LAWRENCE SEAWAY DEV. CORP.	0.00	0.00	0.00
			TFMA57651900	TRANSFERS TO CUSTOMS	0.00	0.00	0.00
	Sum BEA				0.00	0.00	0.00
Sum					0.00	0.00	0.00
4129	D	-	TFMA57651800	TRANSFERS TO ST. LAWRENCE SEAWAY DEV. CORP.	0.00	-30,571,661.00	-30,571,661.00
			TFMA57651900	TRANSFERS TO CUSTOMS	0.00	-3,274,000.00	-3,274,000.00
	Sum BEA				0.00	-33,845,661.00	-33,845,661.00
Sum					0.00	-33,845,661.00	-33,845,661.00
4166	D	-	TFMA57651800	TRANSFERS TO ST. LAWRENCE SEAWAY DEV. CORP.	0.00	0.00	0.00
			TFMA57652500	TRANSFERS TO CORPS OF ENGINEERS	0.00	0.00	0.00
	Sum BEA				0.00	0.00	0.00
	Sum				0.00	0.00	0.00
4167	D	-	TFMA57652500	TRANSFERS TO CORPS OF ENGINEERS	0.00	-809,375,354.00	-809,375,354.00
	Sum				0.00	-809,375,354.00	-809,375,354.00
4168	D	-	TFMA57652500	TRANSFERS TO CORPS OF ENGINEERS	0.00	-45,828,065.00	-45,828,065.00
	Sum				0.00	-45,828,065.00	-45,828,065.00
4201	-	-	-	TOTAL ACTUAL RESOURCES - COLLECTED	6,950,042,770.67	0.00	6,950,042,770.67
	Sum				6,950,042,770.67	0.00	6,950,042,770.67
4382	D	-	TFMA57652500	TRANSFERS TO CORPS OF ENGINEERS	0.00	0.00	0.00
	Sum				0.00	0.00	0.00
4394	D	-	-	RECEIPTS UNAVAILABLE FOR OBLIGATION UPON COLLECTION	-6,950,042,770.67	-806,968,691.73	-7,757,011,462.40
	Sum				-6,950,042,770.67	-806,968,691.73	-7,757,011,462.40
					0.00	0.00	0.00

**Harbor Maintenance Trust Fund
96X8863
FACTS II Trial Balance (Unaudited)
October 1, 2013 Through September 30, 2013**

Fund:TFM8863DEXXXXXX

USSGL	BEA Category	Year of BA	FY Beginning Balance	FY Activity	FY Ending Balance
1010	-	-	96,103,480.95	1,270,482.26	97,373,963.21
1610	-	-	6,886,251,945.69	820,192,990.32	7,706,444,936.01
1611	-	-	-32,312,655.97	31,333,284.15	-979,371.82
			6,950,042,770.67	852,796,756.73	7,802,839,527.40
4114	D	-	0.00	1,696,017,771.73	1,696,017,771.73
	M	-	0.00	0.00	0.00
Sum			0.00	1,696,017,771.73	1,696,017,771.73
4127	D	-	0.00	0.00	0.00
4129	D	-	0.00	-33,845,661.00	-33,845,661.00
4166	D	-	0.00	0.00	0.00
4167	D	-	0.00	-809,375,354.00	-809,375,354.00
4168	D	-	0.00	-45,828,065.00	-45,828,065.00
4201	-	-	6,950,042,770.67	0.00	6,950,042,770.67
4382	D	-	0.00	0.00	0.00
4394	D	-	-6,950,042,770.67	-806,968,691.73	-7,757,011,462.40

Harbor Maintenance Trust Fund
96X8863
Attest Trial Balance (Unaudited)
September 1, 2013 Through September 30, 2013

Period Name:2013-12 Fund:TFM8863DEXXXXX Program:<All>

USSGL	Cost Center	USSGL / Cost Center Description	Beginning Balance	Period Net Dr	Period Net Cr	Ending Balance	Period Net Dr	Period Net Cr	Ending Balance
1010	-	FUND BALANCE WITH TREASURY	177,388,604.26	8,671,298,002.94	8,751,312,643.99	97,373,963.21			97,373,963.21
1342	-	INTEREST RECEIVABLE - INVESTMENTS	21,449,987.38	9,915,026.49	5,808,107.92	25,556,905.95			25,556,905.95
1610	-	INVESTMENTS IN U.S. TREASURY SECURITIES ISSUED BY THE BUREAU OF THE PUBLIC DEBT	7,594,267,830.93	8,680,293,036.89	8,568,115,931.81	7,706,444,936.01			7,706,444,936.01
1611	-	DISCOUNT ON U.S. TREASURY SECURITIES ISSUED BY THE BUREAU OF THE PUBLIC DEBT	-1,256,858.88	277,487.06	0.00	-979,371.82			-979,371.82
1612	-	PREMIUM ON U.S. TREASURY SECURITIES ISSUED BY THE BUREAU OF THE PUBLIC DEBT	235,186,268.46	0.00	0.00	235,186,268.46			235,186,268.46
1613	-	AMORTIZATION OF DISCOUNT AND PREMIUM ON U.S. TREASURY SECURITIES ISSUED BY THE BUREAU OF THE PUBLIC DEBT	-123,936,424.58	183,511.17	3,793,470.07	-127,546,383.48			-127,546,383.48
2150	TFMA57651800	TRANSFERS TO ST. LAWRENCE SEAWAY DEV. CORP.	-3,571,661.00	3,571,661.00	0.00	0.00	0.00		0.00
	TFMA57651900	TRANSFERS TO CUSTOMS	0.00	0.00	0.00	0.00	0.00		0.00
	TFMA57652500	TRANSFERS TO CORPS OF ENGINEERS	-67,447,946.10	67,447,946.10	0.00	0.00	0.00		0.00
	Sum		7,832,079,800.47	17,432,986,671.65	17,329,030,153.79	7,936,036,318.33	0.00	0.00	0.00
3310	-	CUMULATIVE RESULTS OF OPERATIONS	-7,159,272,890.36	0.00	0.00	-7,159,272,890.36	0.00		-7,159,272,890.36
		PROGRAM AGENCY EQUITY						0.00	0.00
5311	TFMA53110010	INTEREST ON INVESTMENTS	-90,098,319.12	3,515,983.01	10,098,537.66	-96,680,873.77			-96,680,873.77
5765	TFMA57651800	TRANSFERS TO ST. LAWRENCE SEAWAY DEV. CORP.	30,571,661.00	3,571,661.00	3,571,661.00	30,571,661.00	0.00		30,571,661.00
	TFMA57651900	TRANSFERS TO CUSTOMS	3,274,000.00	0.00	0.00	3,274,000.00	0.00		3,274,000.00
	TFMA57652500	TRANSFERS TO CORPS OF ENGINEERS	809,375,354.00	67,447,946.10	67,447,946.10	809,375,354.00	0.00		809,375,354.00
	Sum		-6,406,150,194.48	74,535,590.11	81,118,144.76	-6,412,732,749.13	0.00	0.00	843,221,015.00
5800	TFMA58004300	CUSTOM DUTIES ON IMPORTS	0.00	0.00	0.00	0.00			0.00
	TFMA58004500	CUSTOM DUTIES ON DOMESTICS	0.00	0.00	0.00	0.00			0.00
	TFMA58004600	CUSTOM DUTIES ON PASSENGERS	0.00	0.00	0.00	0.00			0.00
	TFMA58004700	CUSTOM DUTIES ON FOREIGN TRADE	0.00	0.00	0.00	0.00			0.00
	Sum		0.00	0.00	0.00	0.00	0.00	0.00	0.00
5997	TFMA59970100	CUSTOM DUTIES ON IMPORTS	-1,042,986,087.54	0.00	96,846,221.14	-1,139,832,308.68			-1,139,832,308.68
	TFMA59970200	CUSTOM DUTIES ON DOMESTICS	-122,455,481.49	0.00	345,430.67	-122,800,912.16			-122,800,912.16
	TFMA59970300	CUSTOM DUTIES ON PASSENGERS	-11,840,333.55	0.00	2,728.48	-11,843,062.03			-11,843,062.03
	TFMA59970400	CUSTOM DUTIES ON FOREIGN TRADE	-248,647,703.41	0.00	179,582.92	-248,827,286.33			-248,827,286.33
	Sum		-1,425,929,605.99	0.00	97,373,963.21	-1,523,303,569.20	0.00	0.00	-1,523,303,569.20
	TOTAL		0.00	17,507,522,261.76	17,507,522,261.76	0.00	0.00	0.00	0.00

Footnotes for Adjusting Entries

1 To reverse the current payable to convert the "Transfer to Corps of Engineers" account into a cash basis figure:	0.00
To reverse the current payable to convert the "Transfer to SLSDC" account into a cash basis figure:	0.00
To reverse the current payable to convert the "Transfer to Customs" account into a cash basis figure:	0.00
2 To reverse the current payable to convert the "Transfer to Corps of Engineers" account into a cash basis figure:	0.00
To reverse the current payable to convert the "Transfer to SLSDC" account into a cash basis figure:	0.00
To reverse the current payable to convert the "Transfer to Customs" account into a cash basis figure:	0.00
3 To reclassify the current payable as Program Agency Equity.	0.00

Harbor Maintenance Trust Fund
96X8863
Schedule of Assets & Liabilities (Unaudited)
September 30, 2013

ASSETS

Fund Balance with Treasury	\$	97,373,963.21
Interest Receivable		25,556,905.95
Other Receivable		0.00
Investments (Net)		<u>7,813,105,449.17</u>
<i>TOTAL ASSETS</i>	\$	<u><u>7,936,036,318.33</u></u>

LIABILITIES

Program Agency Equity:		
Available	\$	0.00
Other		<u>7,936,036,318.33</u>
<i>TOTAL LIABILITIES</i>	\$	<u><u>7,936,036,318.33</u></u>

Harbor Maintenance Trust Fund
96X8863
Schedule of Activity (Unaudited)
October 1, 2013 Through September 30, 2013

REVENUES

Interest Revenue	\$	96,680,873.77
Tax Revenue		<u>1,523,303,569.20</u>
Total Revenues	\$	<u>1,619,984,442.97</u>

DISPOSITION OF REVENUES

Transfers to Program Agencies	\$	<u>843,221,015.00</u>
Total Disposition of Revenues	\$	<u>843,221,015.00</u>
<i>NET INCREASE / (DECREASE) IN PROGRAM AGENCY EQUITY</i>	\$	<u><u>776,763,427.97</u></u>